

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: Hee-Gyoun LEE et al.  
Serial No.: Not Assigned Yet  
TITLE: RARE EARTH-BA-CU-O SUPERCONDUCTORS  
AND METHODS OF MAKING SAME  
Filing Date: Filed Herewith  
Examiner: Not Assigned Yet  
Art Unit: Not Assigned Yet

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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the documents listed on the attached Form PTO/SB/08A are being brought to the attention of the Examiner in charge of the above-referenced Application.

The Examiner is respectfully requested to initial the space adjacent to the listing of each document on Form PTO/SB/08A, and return a copy of the initialed Form PTO/SB/08A with the next communication to Applicant, to confirm that these documents have been considered by the Examiner and made of record in this Application.

**Certification Under 37 CFR §1.97(b):**

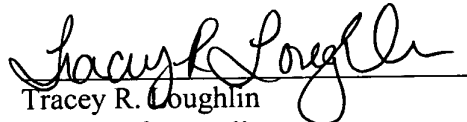
This statement is being filed with the application. Accordingly, Applicant does not believe any fee is due. If this is incorrect, however, please charge any fees due to **Deposit Account No. 04-1448.**

The above-referenced information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§1.104(a) and 1.104(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this Application, and that the references be made of record therein and appear among the "References Cited" on any patent that issues therefrom.

If Examiner has any questions or wishes to discuss this Application, Examiner is kindly encouraged to telephone undersigned.

Respectfully submitted,

Date: 07/10/03

  
Tracey R. Loughlin  
Attorney for Applicants  
Registration # 51,969

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Applicant Number	Not Assigned Yet
				Filing Date	Filed Herewith
				First Named Inventor	LEE et al.
				Group Art Unit	Not Assigned Yet
Examiner Name	Not Assigned Yet				
Sheet	1	of	2	Attorney Docket Number	SP #217 (3725)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code 2 (if known)			
	1	US-6,569,812	05/27/2003	Watanabe et al.	
	2	US-6,569,811	05/27/2003	Shi	
	3	US-2002/0076567	06/20/2002	Honjo et al.	
	4	US-5,972,847	10/26/1999	Feenstra et al.	
	5	US-6,383,989	05/07/2002	Jia et al.	
	6	US-6,243,598	06/05/2001	Christiansen	
	7	US-6,221,812	04/24/2001	Zhou et al.	
	8	US-5,665,682	09/09/1997	Okamura et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code3 -Number4 - Kind Code 5 (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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				Examiner Name	Not Assigned Yet
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[illegible]

Examiner Signature		Date Considered	
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